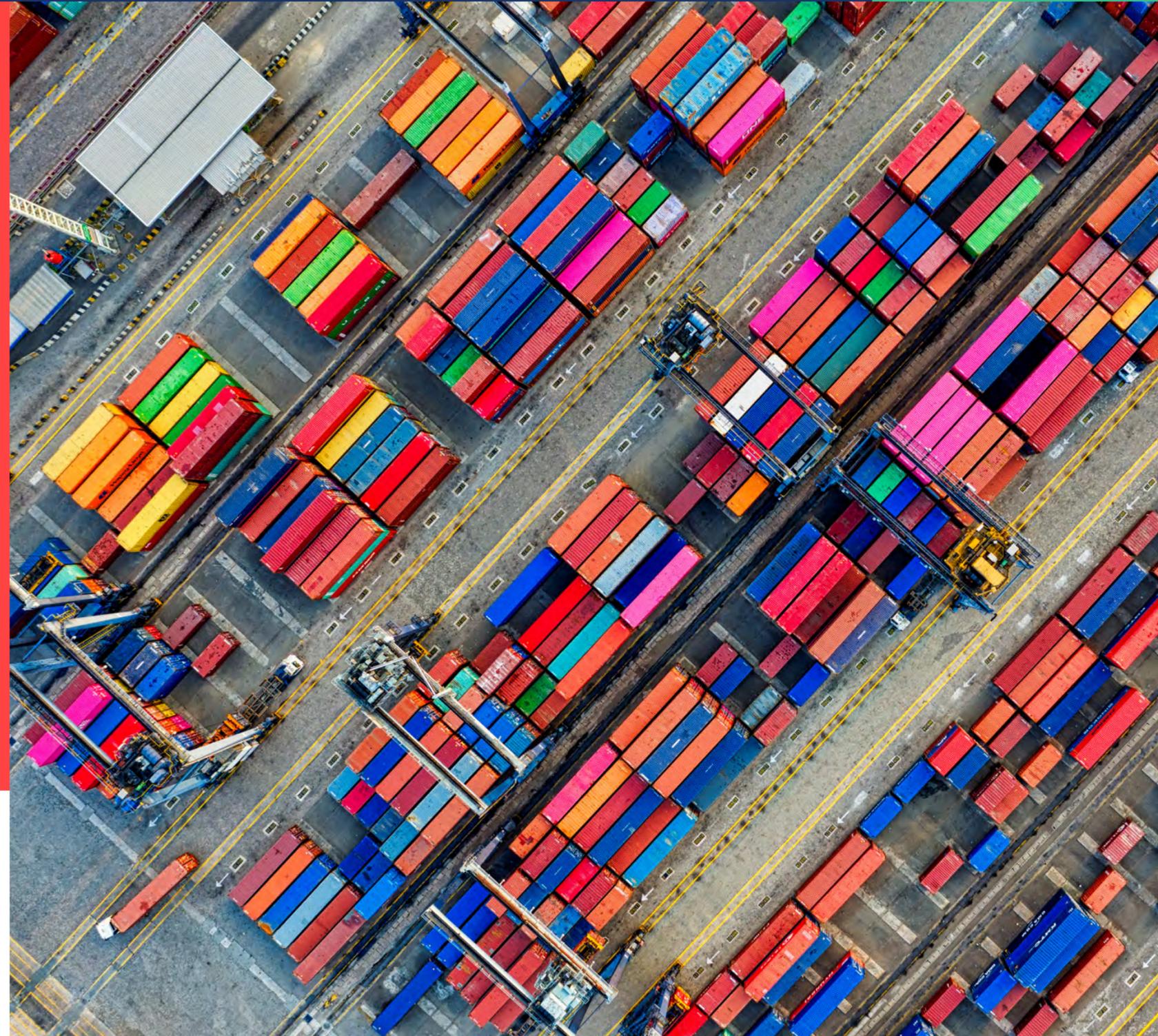




Ministry of International Trade and Industry



IMPLEMENTATION OF ASEAN WIDE SELF-CERTIFICATION (AWSC)



The First Protocol to Amend the ATIGA (which includes Annex 8 - ATIGA Operational Certification Procedure-OCP) shall enter into force 30 days after the date on which all ASEAN Member States (AMS) have notified the Secretary-General of ASEAN of the completion of their internal procedures.

Subsequent to this, AMS during the SEOM Preparatory Meeting for the 34th AFTA Council Meeting held on 22-23 August 2020, taking into consideration the final ratification instrument received, agreed to implement the ASEAN-Wide Self Certification (AWSC) effective 20 September 2020.

Ratification of First Protocol of ATIGA



INSTRUMENT OF RATIFICATION

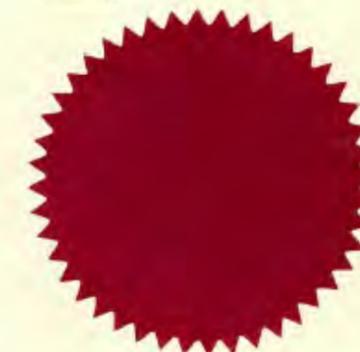
WHEREAS the First Protocol to Amend the ASEAN Trade in Goods Agreement (hereinafter referred to as "the Protocol") was signed ad-referendum in Hanoi, Viet Nam, on 22 January 2019.

AND WHEREAS Article 2 of the Protocol provides that the Protocol shall enter into force on the thirtieth day after the date on which all Member States have notified the Secretary-General of ASEAN of the completion of their internal procedures.

NOW THEREFORE, the Government of Malaysia, having considered the Protocol, hereby notifies the other Parties of Malaysia's completion of its internal requirements necessary for entry into force of the Protocol.

IN WITNESS THEREOF, this Instrument of Ratification is signed and sealed by the Minister of Foreign Affairs, Malaysia.

DONE at Putrajaya this Thirtieth day of April in this year Two Thousand and Twenty.



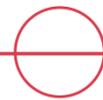
A handwritten signature in black ink, appearing to read 'Hishammuddin Tun Hussein'.

HISHAMUDDIN TUN HUSSEIN
MINISTER OF FOREIGN AFFAIRS
MALAYSIA

Background of ATIGA Self-Certification Scheme



Nov
2010



1st Self-Certification Pilot Project started with the participation of 6 Member States namely **Malaysia**, Brunei, Cambodia, Singapore, Thailand and Myanmar

Jan
2014



2nd Self-Certification Pilot Project started with the participation of 5 Member States namely Indonesia, Lao PDR, the Philippines, Thailand and Viet Nam

replaced by
AWSC

20 Sept
2020



With the entry into force of the First Protocol to Amend ATIGA on 20 September 2020, the **AWSC Scheme would be implemented** on the same date.





What is AWSC?

AWSC is a trade facilitation initiative that allows exporters who have demonstrated their competence to comply with ATIGA Rules of Origin requirements, known as “Certified Exporters”, to self certify the origin status for their goods to be eligible for ATIGA tariff preferences.

AWSC is replacing the current Self-Certification Scheme (SCPP1 and SCPP2). Once the AWSC is implemented, Certified Exporters (CE) are able to make Origin Declaration for the export of goods to all ASEAN Member States.

To promote this new scheme, ASEAN Secretariat, with support from ASEAN-USAID IGNITE, has initiated some outreach programmes, such as the development of certified exporter database, creating a website on AWSC (awsc.asean.org) and producing brochures and infographics to enhance the awareness of the private sector and relevant government agencies on this new implementation.



MINIMUM ELIGIBILITY TO BECOME A CE



REGISTERED IN THE EXPORTING
MEMBER STATE;

GOOD COMPLIANCE MEASURED BY THE
RISK MANAGEMENT RULES;

KNOW AND UNDERSTAND THE
RULES OF ORIGIN IN THE ATIGA;

A TRADER MUST HAVE A
"MANUFACTURER'S DECLARATION"
AND BE READY FOR A RETROACTIVE
CHECK AND VERIFICATION

EXPERIENCED EXPORTER;

NO RECORD OF ANY RULES OF
ORIGIN FRAUD;

HAS A SOUND BOOKKEEPING AND
RECORD-KEEPING SYSTEM.



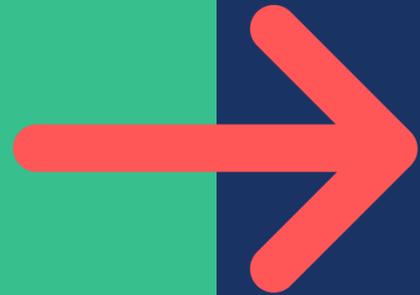
- Existing CE will be informed by MITI in writing on the termination of CE under SCPP1 and authorization to act as CE within the framework of the AWSC effective 20 September 2020
- The appointment letter will list all the conditions under the OCP that need to be observed by CE:
 - Rule 12A – Definition of the Certified Exporter
 - Rule 12B – Origin Declaration
 - Rule 12C – Monitoring and Verification
 - Rule 12D – Withdrawal of the Authorisation
 - Rule 24 – Action against fraudulent Acts



**Authorization to
act as Certified
Exporter**

NEW AUTHORIZATION CODE FORMAT

SC-2010A1/
SC2011-B001



MY-SC2020B001



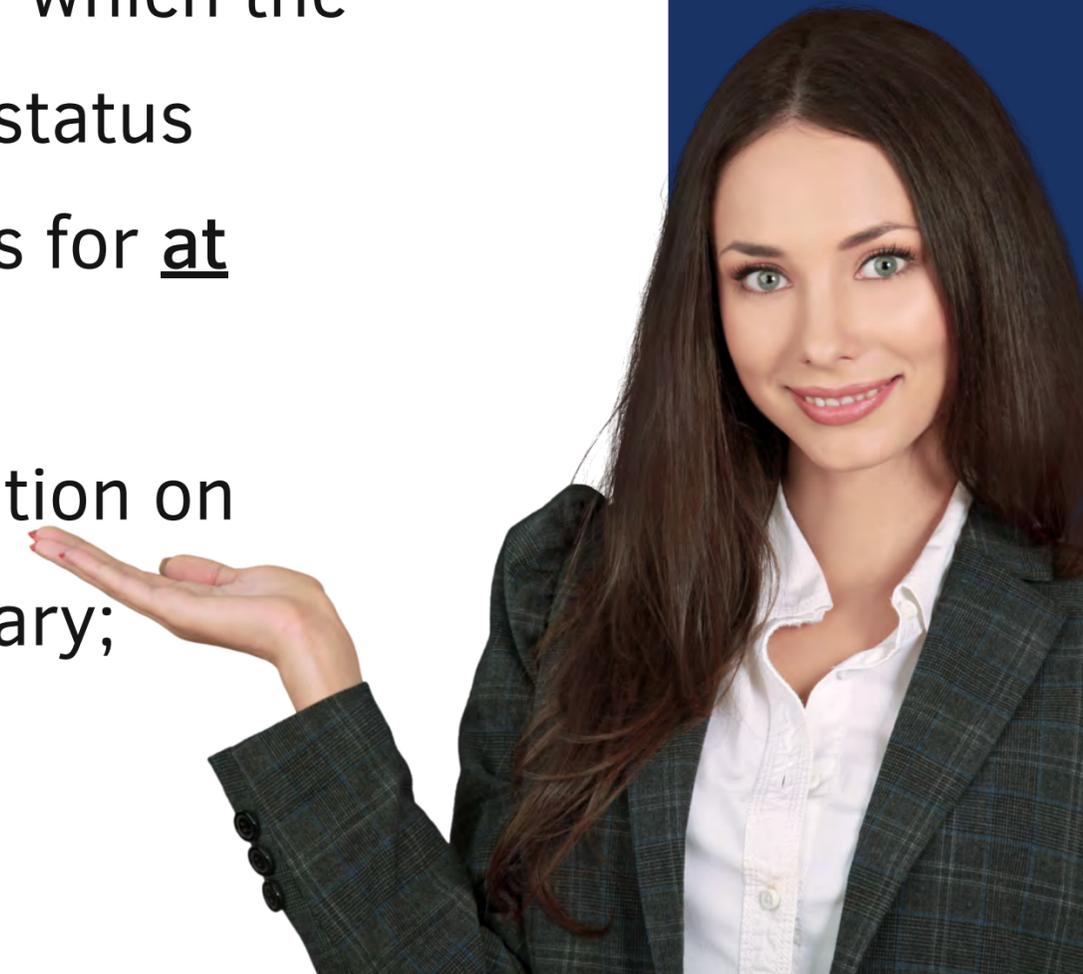
PLEASE INDICATE THE NEW AUTHORIZATION CODE AS PROVIDED IN YOUR APPOINTMENT LETTER ON YOUR ORIGIN DECLARATION



OBLIGATION OF A CERTIFIED EXPORTER



- Apply for the necessary Cost Analysis approval from MITI (CA validity is 2 years from date of approval);
- Make out Origin Declarations only for goods for which the CE has been authorised to make out an Origin Declaration and for which the CE has all appropriate documents proving the originating status
- Keep and maintain up-to-date accurate books and records for at least 3 years;
- Report to MITI on numbers of Commercial Invoice Declaration on quarterly basis before 5th of April, July, October and January;

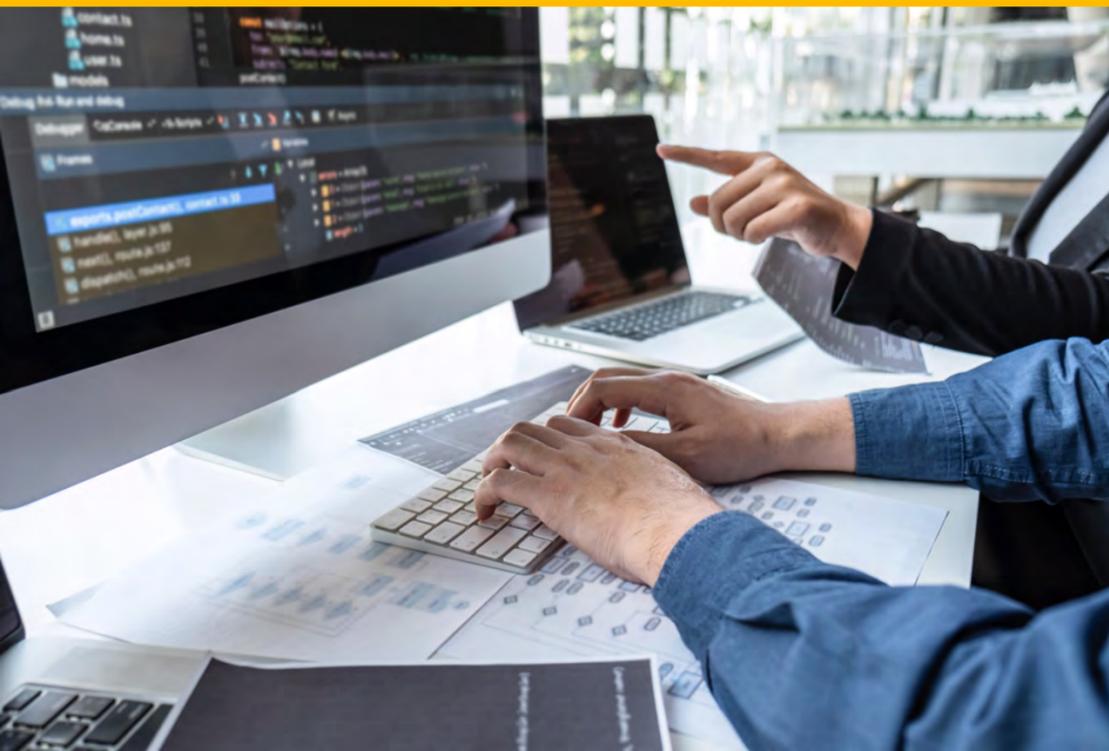




OBLIGATION OF A CERTIFIED EXPORTER

- Extend full co-operation to MITI and Royal Malaysian Customs when required (inspection/audit of operations).
- continue to comply with the conditions set out in Rule 12 A Paragraph 2 of the OCP;
- Accept full responsibility for all Origin Declarations made, including any misuse; and
- Promptly inform the Competent Authority of any changes related to the information submitted under Rule 2 Paragraph 4 of the OCP.

How will the Importing Authority know that I am a Certified Exporter?



CE information are stored in a dedicated Database System initiated by the ASEAN Secretariat with support from ASEAN-USAID IGNITE.

MITI will need to upload all the CE information into this system using specific format/template.

Hence, it is very important for all CE to make sure that the information submitted to MITI are accurate to avoid any problem that might cause denial of preferential treatment.



RULE 12B OF THE ATIGA OCP

ORIGIN DECLARATION



- The document containing the Origin Declaration should **describe the goods in sufficient details** to enable them to be identified for origin determination purposes.
- The Origin Declaration shall **bear the name and manually executed signature** of the **authorised signatories**.
- The **date of the document** containing the Origin Declaration shall be **considered as the issuance date** of the Origin Declaration.
- The **reference number of the document** containing the Origin Declaration shall be **considered as the reference number of the Origin Declaration**.
- If in case the **space provided** for in the Origin Declaration **is not sufficient** to list out all the products, **additional page/s** containing information as set out in Attachment 1 **could be attached**.



ORIGIN DECLARATION

- The procedure is similar with the procedure under SCPP1, where the CE shall make the Origin Declaration on their commercial invoice.
- Only in cases where the commercial invoice could not be produced to the importer on the exportation date, the CE is permitted to put the declaration on other trade documents and will be accepted at the time of importation if submitted together with the commercial invoice as follows :



**Billing
statement**



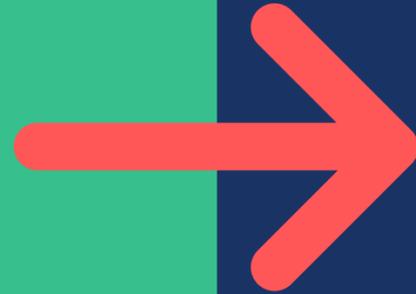
**Delivery
Order**



**Packing
List**



SCPP1



AWSC

INFORMATION REQUIRED ON THE ORIGIN DECLARATION



1. Certified exporter's authorized code number;
2. Country of origin;
3. Origin criteria of the goods;
4. AHTN Code of the product; and
5. Authorized signature over printed/stamped name of the signatory.

1. CE Authorisation Code
2. Product's name;
3. HS in six digit or AHTN Code;
4. Origin conferring criterion;
5. Country of Origin;
6. FOB price when the regional value content origin criterion is used;
7. Quantity of goods;
8. Trademark (if applicable);

9. For the case of Back to back Origin Declaration, original Proof of Origin reference number, date of issuance, Country of Origin of the first exporting country, and, if applicable, CE Authorisation Code of the exporter from the first exporting country.
10. Certification by an authorised signatory of the CE that the goods specified in the Origin Declaration meet all the relevant requirements;
11. Authorised signature overprinted / stamped name of the signatory.

ORIGIN DECLARATION

- The document containing the Origin Declaration should **describe the goods in sufficient details** to enable them to be identified for origin determination purposes.
- The Origin Declaration shall **bear the name and manually executed signature** of the **authorised signatories**.
- The **date of the document** containing the Origin Declaration shall be **considered as the issuance date** of the Origin Declaration.
- The **reference number of the document** containing the Origin Declaration shall be **considered as the reference number of the Origin Declaration**.
- If in case the **space provided** for in the Origin Declaration **is not sufficient** to list out all the products, **additional page/s** containing information as set out in Attachment 1 **could be attached**.



CATEGORIES OF TEXT (sample)

FOR INVOICE DECLARATION



SINGLE GOODS & MALAYSIA ORIGIN

MULTIPLE GOODS WITH MALAYSIA ORIGIN & SINGLE ORIGIN CRITERIA

MULTIPLE GOODS WITH MALAYSIA ORIGIN & MULTIPLE ORIGIN CRITERIA

B2B : MULTIPLE GOODS WITH MULTIPLE FIRST EXPORTING COUNTRY

INDICATIVE FORMAT

NORMAL EXPORTATION

Indicative Format of Original Origin Declaration

This format serves as an indicative guide and the certified exporter is free to use any other format that contains the required information.

Name of Products	HS in six digit or AHTN Code	Origin conferring criterion	FOB value when the regional value content origin criterion is used	Quantity of goods	Trademark, if applicable

The exporter of the product(s) covered by this document (Certified Exporter Authorisation code: 0000/XXXX) declares that, except where otherwise clearly indicated, the product(s) satisfy the Rules of Origin to be considered as originating goods under ATIGA (ASEAN country of origin:).

Authorised signature over printed/stamped
name of the signatory



SINGLE GOODS & MALAYSIA ORIGIN

These format serves as an indicative guide and the certified exporter is free to use any other format that contains the required information. The Certified Exporter is free to include additional information that he/she deems necessary as well.

1. Single good with Malaysia origin

Name of Products	HS in six digit or AHTN Code	Origin conferring criterion	FOB value when the regional value content origin criterion is used	Quantity of goods	Trademark, if applicable
1. Product A	3201.90.0000	RVC 86.72%	USD 58,000.00	1,000 UNIT	N/A

"The exporter of the product covered by this document (Certified Exporter Authorisation code: MY-SC2020B001) declares that, except where otherwise clearly indicated, the product satisfy the Rules of Origin to be considered as originating goods under ATIGA (ASEAN country of origin: Malaysia)

Authorised signature over printed/stamped name of the signatory



MULTIPLE GOODS WITH MALAYSIA ORIGIN & SINGLE ORIGIN CRITERIA

This format serves as an indicative guide and the certified exporter is free to use any other format that contains the required information. The Certified Exporter is free to include additional information that he/she deems necessary as well.

2. Multiple goods with Malaysia origin & single origin criteria

Name of Products	HS in six digit or AHTN Code	Origin conferring criterion	FOB value when the regional value content origin criterion is used	Quantity of goods	Trademark, if applicable
1. Product A	3201.90.0000	CTH	-	1,000 UNIT	N/A
2. Product B	3201.90.1000	CTH	-	1,000 UNIT	N/A
3. Product C	3201.90.2000	CTH	-	1,000 UNIT	N/A

"The exporter of the products covered by this document (Certified Exporter Authorisation code: MY-SC2020B001) declares that, except where otherwise clearly indicated, the products satisfy the Rules of Origin to be considered as originating goods under ATIGA (ASEAN country of origin: Malaysia)

Authorised signature over printed/stamped name of the signatory



MULTIPLE GOODS WITH MALAYSIA ORIGIN & MULTIPLE ORIGIN CRITERIA

These format serves as an indicative guide and the certified exporter is free to use any other format that contains the required information. The Certified Exporter is free to include additional information that he/she deems necessary as well.

3. Multiple goods with Malaysia origin & multiple origin criteria

Name of Products	HS in six digit or AHTN Code	Origin conferring criterion	FOB value when the regional value content origin criterion is used	Quantity of goods	Trademark, if applicable
1. Product A	3201.90.0000	CTH	-	1,000 UNIT	N/A
2. Product B	0201.30.0000	WO	-	800 <u>CARTON</u>	N/A
3. Product C	3201.90.2000	RVC 86.72%	USD 58,000.00	1,000 UNIT	N/A

"The exporter of the products covered by this document (Certified Exporter Authorisation code: MY-SC2020B001) declares that, except where otherwise clearly indicated, the products satisfy the Rules of Origin to be considered as originating goods under ATIGA (ASEAN country of origin: Malaysia)

Authorised signature over printed/stamped name of the signatory



INDICATIVE FORMAT

BACK-TO-BACK EXPORTATION

Indicative Format of Back-to-Back Origin Declaration

This format serves as an indicative guide and the certified exporter is free to use any other format that contains the required information.

Name of Products	HS in six digit or AHTN Code	Origin conferring criterion	Country of Origin of the first exporting country	FOB value when the regional value content origin criterion is used	Quantity of goods	Trademark, if applicable	Original Proof of Origin reference number	Date of issuance of original Proof of Origin	Certified Exporter <u>Authorisation</u> Code of the first exporting country, if applicable

The exporter of the product(s) covered by this document (Certified Exporter Authorisation code: 0000/XXXX) declares that, except where otherwise clearly indicated, the product(s) satisfy the Rules of Origin to be considered as originating goods under ATIGA (ASEAN country of origin:).

Authorised signature over printed/stamped name of the signatory



B2B : MULTIPLE GOODS WITH MULTIPLE FIRST EXPORTING COUNTRY

This format serves as an indicative guide and the certified exporter is free to use any other format that contains the required information. The Certified Exporter is free to include additional information that he/she deems necessary.

Name of Products	HS in six digit or AHTN Code	Origin conferring criterion	Country of Origin of the first exporting country	FOB value when the regional value content origin criterion is used	Quantity of goods	Trademark, if applicable	Original Proof of Origin reference number	Date of issuance of original Proof of Origin	Certified Exporter <u>Authorisation</u> Code of the first exporting country, if applicable
1. Product A	3201.90.0000	CTH	Singapore	-	1,000 UNIT	N/A	SG12345	12/08/2020	SG-202001
2. Product B	0201.30.0000	WO	Thailand	-	800 <u>CARTON</u>	N/A	TH678910	07/09/2020	N/A
3. Product C	3201.90.2000	RVC 86.72%	Indonesia	USD 8,000	1,000 UNIT	N/A	ID111213	18/08/2020	N/A

"The exporter of the product(s) covered by this document (Certified Exporter Authorisation code: MY-SC2020B001) declares that, except where otherwise clearly indicated, the product(s) satisfy the Rules of Origin to be considered as originating goods under ATIGA (ASEAN country of origin: as per appendix)"

Authorised signature over printed/stamped name of the signatory





Withdrawal of Authorisation



The Issuing Authority **MAY WITHDRAW** the Authorization at any time when:

- CE **no longer offers the guarantees** referred to in Rule 12A(1) of the OCP; or/and
- CE **no longer fulfill the conditions** referred to in Rule 12 A (2) of the OCP; or/and
- CE **abuse** the **authorization**



Penalty will be imposed for **false declarations** as stipulated in the Customs Act 1967



SCAN FOR RELATED DOCUMENTS



awsc.asean.org
www.miti.gov.my

(Announcement and information on AWSC will be made available on MITI's website soon)



Q & A





Let us know how we can further support you.
Contact us at:

 atiga.selfcert@miti.gov.my

 03-6208 4772

Thank you!

