

FORM 7  
[Subregulation 26(1)]

APPLICATION FOR REGISTRATION/RENEWAL OF REGISTRATION\* FOR BROKERS IN  
STRATEGIC ITEMS UNDER SECTION 19 OF THE STRATEGIC TRADE ACT 2010

This form may take you 20 minutes to fill in.

You will need the following to fill in the form:

- Particulars of your company and other parties who will be involved in this transaction
- Information on the items you will be brokering
- End-user's information and the intended end-use of the items

Important Notes:

1. "" indicates delete whichever is not applicable.
2. "\*" indicates compulsory fields. Please enter "NA" if the field is not applicable.
3. Tick (✓) where applicable.
4. This application must be signed:
  - (a) If an individual, by the person directly interested or one of his employees authorized by him in writing;
  - (b) If a partnership, by one of the partners or an employee of the firm duly authorized in writing by one of the partners; or
  - (c) If a body corporate, by a director, the secretary or an employee duly authorized in writing by a director or the secretary of the body corporate.
5. Any person who contravenes section 11 of the Strategic Trade Act 2010 commits an offence and shall, on conviction—
  - (a) in relation to strategic items which are arms or related material—
    - (i) where death is the result of the act, be punished with death or imprisonment for natural life, and in the case of a body corporate, be punished with a minimum fine of thirty million ringgit; or
    - (ii) in any other case, be punished with imprisonment for a term of not less than ten years or with a fine of not less than ten million ringgit or with both, and in the case of a body corporate, be punished with a minimum fine of twenty million ringgit; or
  - (b) in relation to strategic items other than arms or related material, be punished with imprisonment for a term of not less than five years or with a fine of not less than five million ringgit or with both, and in the case of a body corporate, be punished with a minimum fine of ten million ringgit.
6. Please complete the application form and submit it together with the relevant supporting documents (via e-mail, fax or post) to the relevant Authority.

Application No.:  
(For official use)

<p><b>Section A: Registration Purpose</b></p> <p>*1. <input type="checkbox"/> New Registration <input type="checkbox"/> Updating of Record <input type="checkbox"/> Renewal of Registration <input type="checkbox"/> Addition of Item(s)/Country(s)</p> <p>If the purpose is for the renewal of registration or updating of record, please fill in the current application number and sections B, F, and I of the application form.</p> <p>If the purpose is for the addition of item(s)/country(s), please fill in the current application number and sections C, D and G of the application form.</p>
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<p><b>Current Application No.:</b> (if applicable)</p>	
<p><b>Previous Registration No.:</b> (if applicable)</p>	<p><b>Issuance Date:</b></p>
<p><b>Expiry Date:</b></p>	
<p><b>Section B: Applicant's Information</b></p>	
<p>*2. Application Type: <input type="checkbox"/> Organization <input type="checkbox"/> Individual</p>	
<p>*3. Name:</p>	<p>*4. Address:</p>
<p>*5. Contact No.:</p>	<p>*6. Fax No.:</p>
<p>*7. E-mail:</p>	
<p>8. Company Registration No./NRIC No./Passport No.:</p>	<p>9. Contact Person's Name and Designation: (For organization only)</p>

10. Website Address: (For organization only)	11. Date of Incorporation: (For organization only)
12. Principal Business Activities:	
13. Brokering Permit No. and Validity Period: (if any – please refer to item 44)	

Section C: Item(s) to be Brokered (Please attach additional sheet(s) if necessary)					
	14. Description of Item	15. Product Code	16. HS Code	17. Major Supplying Countries	18. Purpose/Usage of Item
(i)					<input type="checkbox"/> Military <input type="checkbox"/> Non-military <input type="checkbox"/> Government <input type="checkbox"/> Non-Government End-use description:
(ii)					<input type="checkbox"/> Military <input type="checkbox"/> Non-military <input type="checkbox"/> Government <input type="checkbox"/> Non-Government End-use description:
(iii)					<input type="checkbox"/> Military <input type="checkbox"/> Non-military <input type="checkbox"/> Government <input type="checkbox"/> Non-Government End-use description:
(iv)					<input type="checkbox"/> Military <input type="checkbox"/> Non-military <input type="checkbox"/> Government <input type="checkbox"/> Non-Government End-use description:

(v)					<input type="checkbox"/> Military <input type="checkbox"/> Non-military <input type="checkbox"/> Government <input type="checkbox"/> Non-Government End-use description:
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<b>Section D: Seller's Information (if any)</b>	
*19. Seller Type: <input type="checkbox"/> Organization <input type="checkbox"/> Individual	
*20. Seller's Name:	*21. Seller's Address:
22. Contact Person's Name and Designation: (For organization only)	
*23. Contact No.:	*24. Fax No.:
25. Website Address: (For organization only)	*26. E-mail:
<b>Section E: Buyer's Information (if any)</b>	
*27. Buyer Type: <input type="checkbox"/> Organization <input type="checkbox"/> Individual	
*28. Buyer's Name:	*29. Buyer's Address:
30. Contact Person's Name and Designation: (For organization only)	

*31. Contact No.:	*32. Fax No.:
33. Website Address: (For organization only)	*34. E-mail:
<b>*Section F: Submission of Supporting Documents</b>	
<p>35. Please submit relevant supporting documents to the relevant Authority together with this application form. All supporting documents have to be numbered and the total number of pages indicated at the top right-hand corner of every page.</p> <p>36. Supporting documents submitted:</p>	
<p><b>Section G: Remarks (if any):</b></p>	
<b>Section H: Conditions</b>	
<p>37. The registration will be valid for 1 year (from the date of approval) subject to your company's compliance with the following conditions and other requirements of the Strategic Trade Act 2010 and the Strategic Trade Regulations 2010:</p> <ul style="list-style-type: none"> <li>• the registered broker shall not do any act of brokering where he knows or would, by making reasonable inquiries, have known that—             <ul style="list-style-type: none"> <li>(i) the contract would result in the transfer of the strategic items to any country or person which or who is subject to any sanction imposed pursuant to a decision of the United Nations Security Council; or</li> <li>(ii) the strategic items would be or are likely to be removed from one foreign country to another foreign country in contravention of the domestic laws of either country.</li> </ul> </li> </ul>	

38. Under Regulation 30 of the Strategic Trade Regulations 2010, the following records and particulars shall be maintained for a period of at least 6 years from the end of the calendar year in which the act authorized by the registration is carried out:
- Certificate of registration issued to the registered broker.
  - Permit granted to the registered broker under the related laws, if any.
  - Where the strategic items are to be brought into Malaysia from an exporting country and the export of the items from that country or its acquisition or disposal is regulated by that country, the document issued by the relevant authority of that country authorizing such export, acquisition or disposal, as the case may be.
  - Document issued by the relevant authority of the country into which the strategic items are to be imported or transmitted authorizing the import or transmission of such strategic items.
  - End-use statement.
  - Invoice issued in respect of the brokering of the strategic items.
  - Shipping documentation including bill of lading, airway bill and shipper's declaration.
  - Particulars of the shipping agents or couriers involved in the transaction.
  - Technical specifications for the strategic items.
  - Purchase orders.
  - Completed registration application and supporting documentation.
  - Contract for the acquisition or disposal of the strategic items and correspondence relating thereto, if any.
  - Notes, invitations to bid, requests for quotations.
  - Correspondence relating to the arrangements or negotiations for the contract for the acquisition or disposal of the strategic items.
  - Declaration(s) made under the Strategic Trade Regulations 2010 in connection with all brokering transactions that require registration under the Strategic Trade Act 2010 and a permit under the related laws, if any, regardless of value, item or destination.
39. The relevant Authority may at any time require the records to be audited and verified by an authorized officer.
40. Every registered broker shall allow the records to be inspected and copied or extract taken by any authorized officer.
41. Where any document or record is not legible, the registered broker who is required to maintain the document or record shall at the request of the authorized officer reproduce the document or record in a legible manner.
42. If there are any changes to the information or particulars provided in the registration application form, the applicant/registered broker<sup>+</sup> shall, within 14 days of such change, apply to the relevant Authority to make the relevant amendment to the application or to the certificate of registration, as the case may be.

43. An application for the renewal of the registration shall be made at least 14 days before the date of expiry of the registration	
44. Please note that a brokering permit under the related laws <sup>1</sup> (if any) is required if the registered broker: <ul style="list-style-type: none"> <li>• has been notified by an authorized officer; or</li> <li>• knows; or</li> <li>• has reasonable grounds to suspect,</li> </ul> that the strategic items he is brokering, is intended or is likely to be used, wholly or in part for or in connection with the development, production, maintenance or storage of nuclear, chemical or biological weapons or missiles which are capable of delivering any such weapon. <sup>1</sup> the "related laws" are as specified in the Schedule to the Strategic Trade Act 2010.	
45. The relevant Authority has the right to revoke the registration of any registered broker for failure to comply with the Strategic Trade Act 2010 and the Strategic Trade Regulations 2010, any other applicable related domestic laws or any of this registration condition.	
<b>Section J: Declaration and Undertaking</b>	
<i>For renewal of registration or updating of record:</i>	
46. Information provided on (date of original registration application in dd/mm/yyyy) is still valid.	
47. A new application is required if there is any change in the information provided in this form.	
48. I/We have read and understood the conditions under Section H of the application form. I/We declare that all the information provided above is true and correct, to the best of my/our knowledge.	
*49. Name of Authorized Personnel:	*50. NRIC/Passport No.:
*51. Designation: (for organization only)	*52. Contact No.:
*53. Fax No.:	*54. E-mail:

P.U. (A) 482.

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*55. Date: (dd/mm/yyyy)	
*56. Signature:	57. Company's Stamp: (for organization only)

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